



Semantics for Non-Determinism in Categorical Message Passing Language by Sup-Lattice Enrichment

Alexanna (Xanna) Little - FMCS 2023
Undergraduate Research at the University of Calgary with
Dr. Robin Cockett





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1. Language



I have been working on undergraduate research with Dr. Robin Cockett for over a year now contributing to the development of the programming language called **Categorical Message Passing Language (CaMPL)**.

CaMPL is a Functional Programming Language.

Functional programming **focuses on what** programs should do **rather than how** they should perform a computation, so programs are **defined by input and output types** and other details are abstracted away.

A functional program can be written by composing other programs (also called functions):

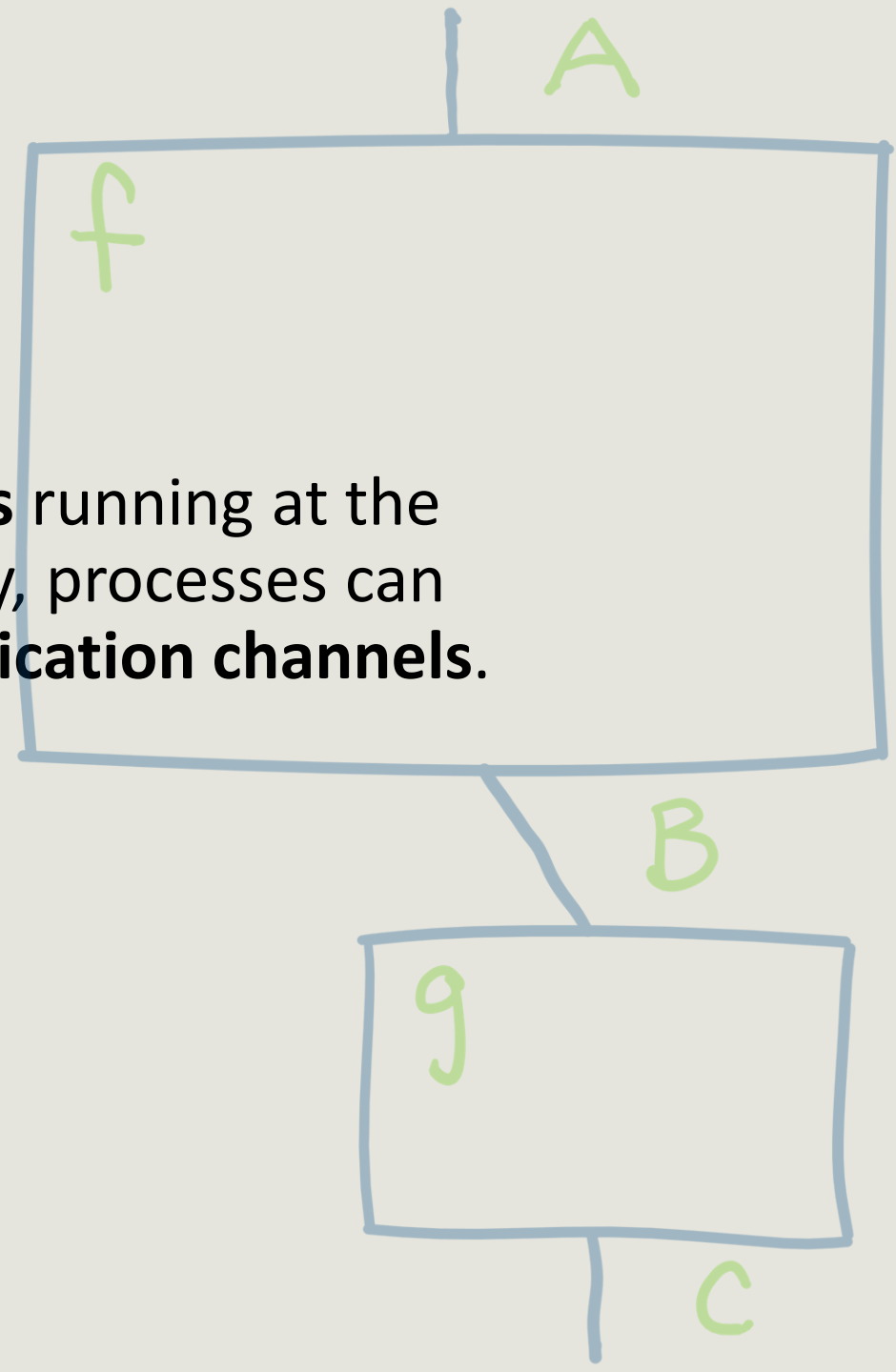
$$X := \underbrace{A \xrightarrow{f} B \xrightarrow{g} C}_{\text{function } f \text{ function } g}^{\text{program } X}$$

CaMPL is a Concurrent Programming Language.

Concurrent programs have **multiple processes** running at the same time, and, in some forms of concurrency, processes can communicate with each other along **communication channels**.

CaMPL has **two kinds of functions**:

- **Concurrent processes** defined on concurrent channel types.
- **Sequential functions** defined on sequential input and output types that are run by the processes.





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2. Categorical



The semantics for programming features in CaMPL are defined using categorical structures.

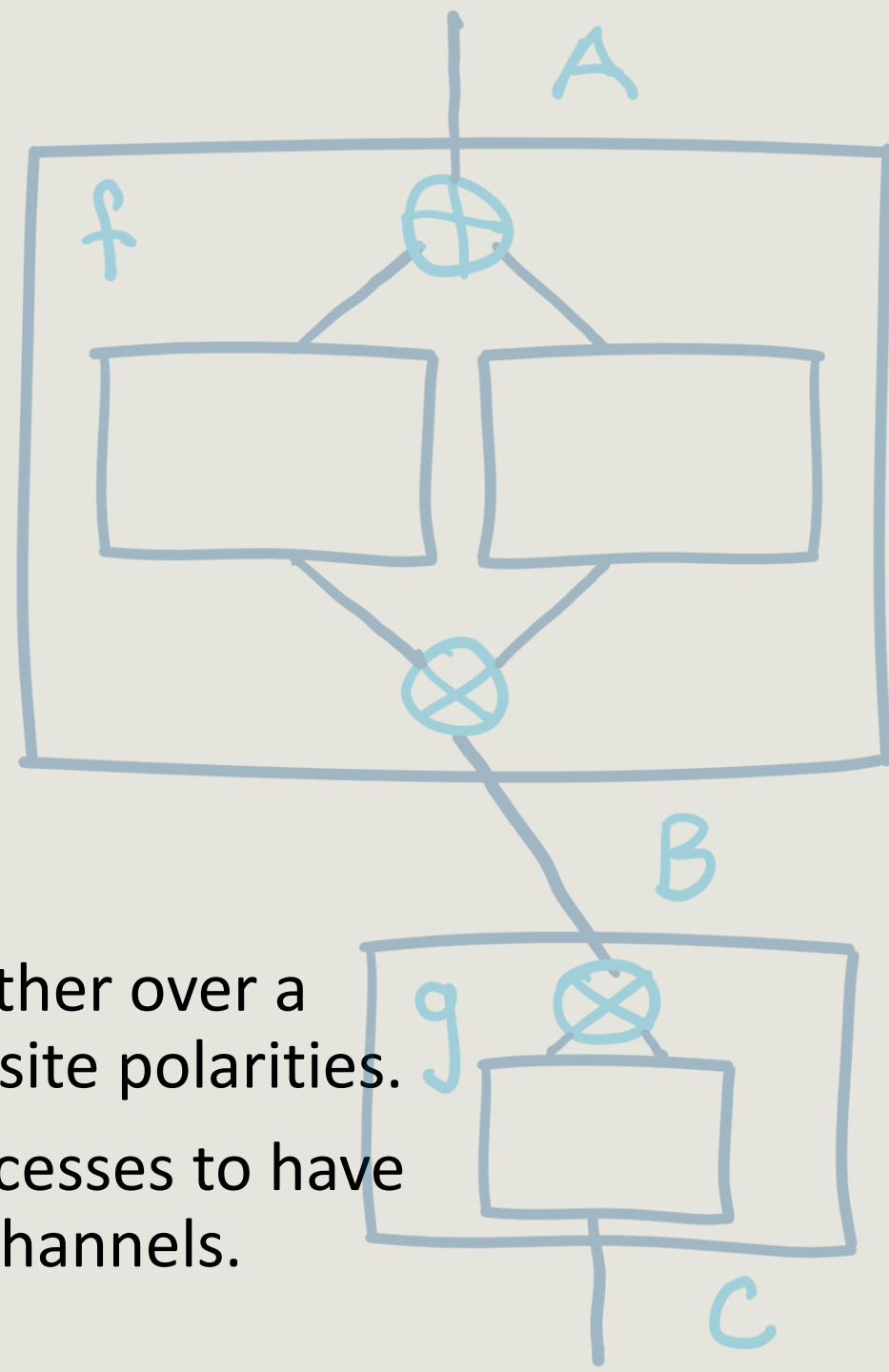
What a CaMPL program does is defined using category theory.

Category theory is a useful mathematical foundation for functional programming because a **function is defined as a map** between objects that are its input and output types.

Concurrent part

The categorical semantics is defined by a **linearly distributive category** where:

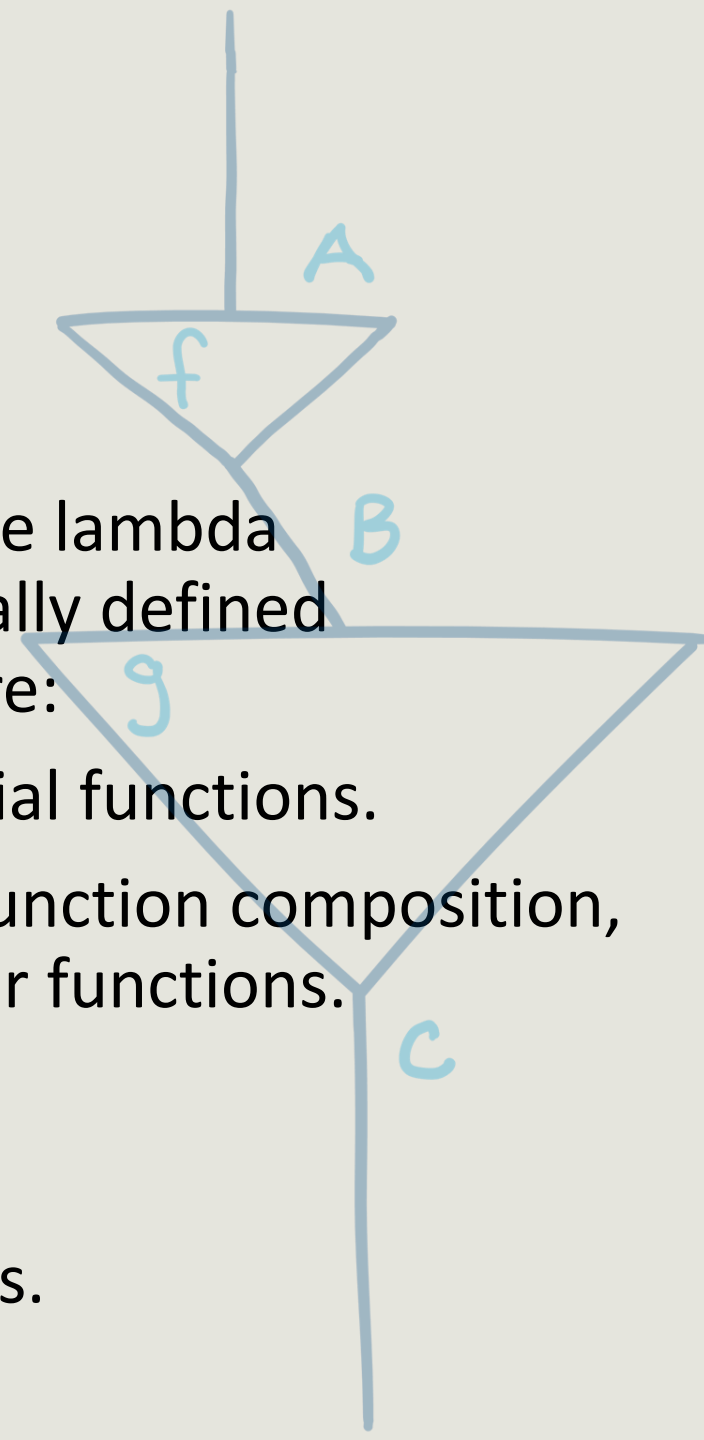
- Objects are concurrent channel types.
- Maps are concurrent processes.
- Identity is just a channel.
- Composition is plugging two processes together over a channel type that they both have with opposite polarities.
- The \otimes tensor and \oplus par functors allow processes to have multiple input polarity and output polarity channels.



Sequential part

The sequential part is a programming language like the lambda calculus or Haskell. Its categorical semantics is minimally defined by a **distributive symmetric monoidal category**, where:

- Objects are sequential types, and maps are sequential functions.
- Identity is an identity function, and composition is function composition, so inputs can be substituted with outputs from other functions.
- The $+$ coproduct allows functions to be defined in multiple ways on different types of input.
- The $*$ tensor allows functions to have multiple inputs.





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3. Message Passing

CaMPL uses a form of concurrency where **processes can communicate by passing messages** along channels.

Concurrent processes can pass messages in both directions along a channel:

- Output from a sequential function can be sent.
- Input for a sequential function can be received.

Message Passing

The categorical semantics is defined by an **additive linear actegory** where:

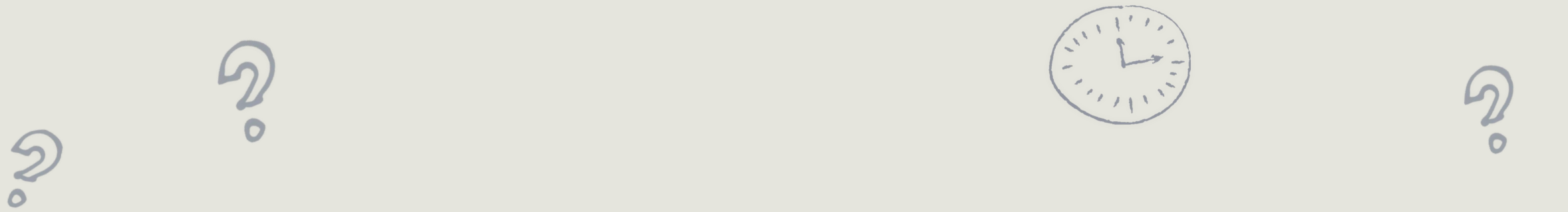
- Distributive monoidal category is the sequential part.
- Linearly distributive category is the channel types and concurrent processes.
- The \circ and \bullet action functors are used to express messages passed on channels.
- Passing a message is given by an adjunction between left parameterized functors $A \circ -$ and $A \bullet -$.

Movie Theatre

- Imagine a movie theatre wants a program for customers to book movie seats online.
- The Movie_Theatre process handles customer requests, and it can **book seats for multiple customers at once**.
- A customer uses their process C to input a seat, and a message with this request is passed to Movie_Theatre.
- Then Movie_Theatre books the seat and passes a confirmation message back.

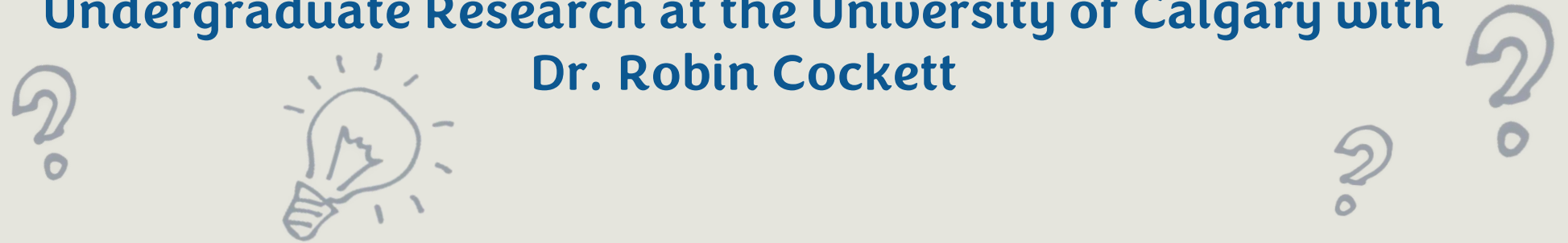
@ Movie_Theatre:
process C
would like to
reserve the seat
J-16.

@ C:
process
Movie_Theatre
has reserved the
seat J-16.



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4. Non-Determinism

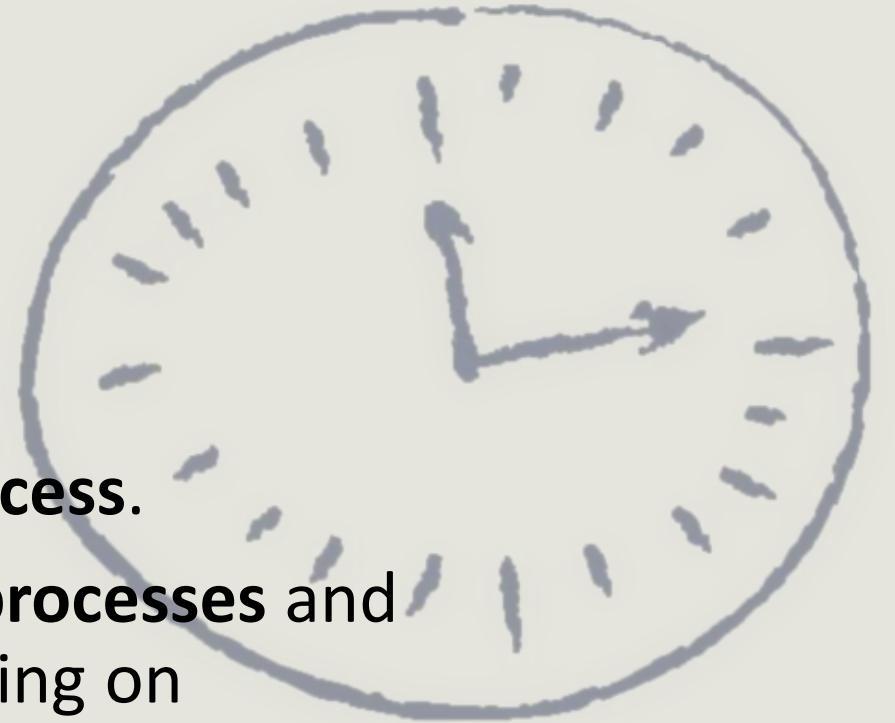
I have been working on the semantics for the programming feature we use to write **non-deterministic CaMPL programs**.

A program is non-deterministic if its **output cannot be completely determined** by its input values.

In CaMPL, non-determinism was introduced by adding **rares**.

Races

- A CaMPL program is non-deterministic if it has a **non-deterministic concurrent process**.
- A non-deterministic process **races other processes** and **changes what it does** in real time depending on **which process wins the race** by passing the first message.
- This feature is important because **life is non-deterministic**, so some solutions need dynamic processes that can change what they do while the program is running.



Movie Theatre

- Imagine we are the movie theatre again, and business is booming because our booking system was so efficient!
- We ran into a problem though when **3 customers have asked for the same seat.**
- We cannot confirm the booking for all of them or a crisis would certainly arise, so what should we do?
- One solution could be to handle requests from customers in a pre-determined order, but this is rather impractical.
- An efficient customer-friendly solution is to give the seat to the first customer who asks for it!

@Movie_Theatre:
process C2
would like to
reserve the seat
J-16.

@Movie_Theatre:
process C1
would like to
reserve the seat
J-16.

@Movie_Theatre:
process C3
would like to
reserve the seat
J-16.

@C2:
process
Movie_Theatre
has reserved the
seat J-16.



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5. Sup-Lattice

Concurrent processes are the maps between concurrent channel types, and in the **deterministic semantics**, the **maps were sets**.

Now, we want the maps to be a structure that can represent non-deterministic processes too.

For the **non-deterministic semantics**, the maps are **sup-lattices** constructed by taking the **power set of a map**.

What is a Sup-Lattice?

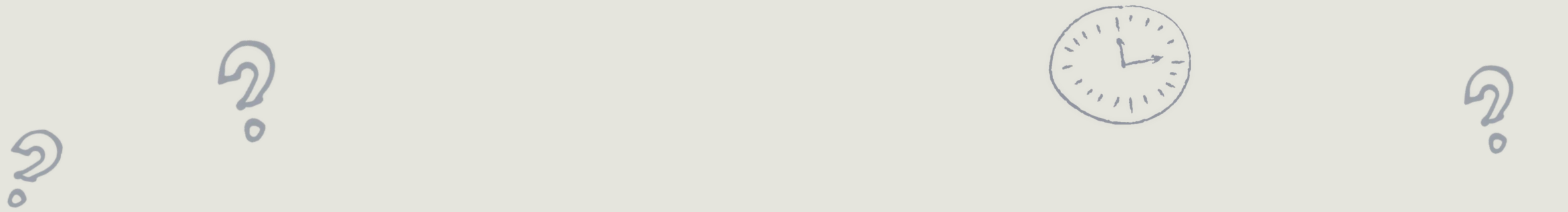
- A **sup-lattice** $\mathcal{L} = (L, \perp, \vee)$ has carrier L , bottom element $\perp \in L$, and join operation \vee .
- A partial ordering is given by \vee which can be expressed as $x \leq y \iff x \vee y = y$ and $\perp \leq x$.
- Additionally, sup is a unique map from elements to their **least upper bound** (supremum) element if it exists, so $x \leq \text{sup}(x, y)$, $y \leq \text{sup}(x, y)$ and $x \leq z, y \leq z \implies \text{sup}(x, y) \leq z$.
- We can also express the notion of arbitrary sups as joins:
$$x_i \leq \bigvee_{i \in I} x_i \quad x_i \leq z, \forall i \in I \implies \bigvee_{i \in I} x_i \leq z$$

Tensor of Sup-Lattices

- **SupLat** is the category of sup-lattices where the objects are sup-lattices and the morphisms are sup-preserving maps.
- A sup-preserving map ensures the sup for a set of elements is mapped to the sup for the mappings of those elements: $f(\bigvee_{i \in I} x_i) = \bigvee_{i \in I} f(x_i)$
- **SupLat** is a monoidal category, and the unit sup-lattice has carrier $\{\top, \perp\}$. The tensor $\mathcal{L}_1 \otimes \mathcal{L}_2$ has carrier $\{ \bigvee (x_1 \otimes x_2) \mid x_1 \in L_1, x_2 \in L_2 \}$, where $\perp \otimes x = \perp = x \otimes \perp$. Maps out of the tensor are sup-preserving and bi-sup-preserving so we have:
$$(\bigvee_{i \in I} x_i) \otimes y = \bigvee_{i \in I} (x_i \otimes y) \quad x \otimes (\bigvee_{j \in J} y_j) = \bigvee_{j \in J} (x \otimes y_j)$$

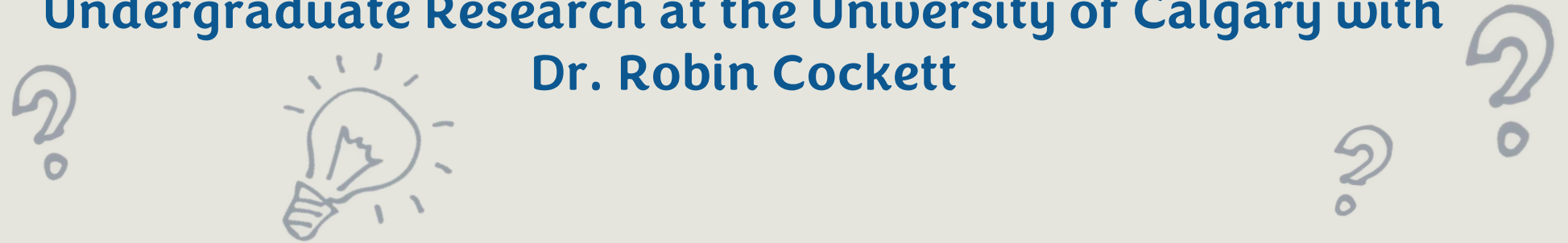
Power set construction

- Let $\mathcal{P} : \mathbf{Set} \rightarrow \mathbf{Set}$ denote the power set functor. We can write $\mathcal{P} = \mathcal{P}\mathcal{U}$ where $\mathcal{P} : \mathbf{Set} \rightarrow \mathbf{SupLat}$ lifts a set to a sup-lattice where the carrier is its power set and $\perp := \emptyset, \vee := \cup$ and $\mathcal{U} : \mathbf{SupLat} \rightarrow \mathbf{Set}$ is the underlying functor that maps a sup-lattice back to the set that is its carrier.
- These functors form an adjunction $(\eta, \epsilon) : \mathcal{P} \dashv \mathcal{U} : \mathbf{Set} \rightarrow \mathbf{SupLat}$ with $\eta : 1_{\mathbf{Set}} \Rightarrow \mathcal{P}\mathcal{U}$ which sends elements to singletons. An abstract component map is $\eta_X : X \rightarrow \mathcal{P}\mathcal{U}(X) ; x \mapsto \{x\}$. The power set is formed by the sup-lattice with bottom element \emptyset and the singletons are joined with \cup .



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6. Enrichment

We want to give our maps additional structure so we can **represent non-deterministic processes**.

Enriched category theory **gives maps additional structure**, and changing the structure of the maps in a category is called a **change of base**.

We can define the non-deterministic semantics for CaMPL by changing the base of the semantics **from set-enriched into sup-lattice enriched**.

What is an Enriched Category?

- An enriched category has maps that are **hom-objects** taken from a monoidal category.
- Hom-objects must come from a monoidal category because the unit object is required to define identity maps and the tensor is required to define composition of maps.
- Let \mathbb{X} be a monoidal category, with a tensor \otimes and unit I , an \mathbb{X} -enriched category \mathbb{C} can be defined as follows:

Objects : $\text{Obj}(\mathbb{C})$

Hom-objects : $\mathbb{C}(A, B) \in \text{Obj}(\mathbb{X}), A, B \in \text{Obj}(\mathbb{C})$

Identity : $1_A : I \rightarrow \mathbb{C}(A, A), \forall A \in \text{Obj}(\mathbb{C})$

Composition : $m_{ABC} : \mathbb{C}(A, B) \otimes \mathbb{C}(B, C) \rightarrow \mathbb{C}(A, C), A, B, C \in \text{Obj}(\mathbb{C})$

Change of base

- We can change the monoidal category we are enriching in with a **monoidal functor** from the original monoidal category to the new monoidal category.
- We want to show that $\mathcal{P} : \mathbf{Set} \rightarrow \mathbf{SupLat}$ is a monoidal functor; that is, the monoidal structure in \mathbf{Set} $(\times, \emptyset, a_{\times}, u_{\times}^L, u_{\times}^R)$ is lifted to the monoidal structure in \mathbf{SupLat} $(\otimes, I, a_{\otimes}, u_{\otimes}^L, u_{\otimes}^R)$.
- To show that \mathcal{P} is a monoidal functor, we must define comparison maps so that the necessary coherence diagrams commute:
 $m_1 : I \rightarrow \mathcal{P}(\emptyset)$ and $m_{\otimes} : \mathcal{P}(X) \otimes \mathcal{P}(Y) \rightarrow \mathcal{P}(X \times Y)$.

The necessary coherence diagrams commute:

- Left and right unitors:

$$\begin{array}{ccc}
 I \otimes \mathcal{P}(X) & \xrightarrow{m_1 \otimes 1_{\mathcal{P}(X)}} & \mathcal{P}(\emptyset) \otimes \mathcal{P}(X) \\
 u_{\otimes}^L \downarrow & & \downarrow m_{\otimes} \\
 \mathcal{P}(X) & \xleftarrow{\mathcal{P}(u_{\times}^L)} & \mathcal{P}(\emptyset \times X)
 \end{array}
 \qquad
 \begin{array}{ccc}
 \mathcal{P}(X) \otimes I & \xrightarrow{1_{\mathcal{P}(X)} \otimes m_1} & \mathcal{P}(X) \otimes \mathcal{P}(\emptyset) \\
 u_{\otimes}^R \downarrow & & \downarrow m_{\otimes} \\
 \mathcal{P}(X) & \xleftarrow{\mathcal{P}(u_{\times}^R)} & \mathcal{P}(X \times \emptyset)
 \end{array}$$

- Associativity

$$\begin{array}{ccc}
 (\mathcal{P}(X) \otimes \mathcal{P}(Y)) \otimes \mathcal{P}(Z) & \xrightarrow{a_{\otimes}} & \mathcal{P}(X) \otimes (\mathcal{P}(Y) \otimes \mathcal{P}(Z)) \\
 m_{\otimes} \otimes 1_{\mathcal{P}(Z)} \downarrow & & \downarrow 1_{\mathcal{P}(X)} \otimes m_{\otimes} \\
 \mathcal{P}(X \times Y) \otimes \mathcal{P}(Z) & & \mathcal{P}(X) \otimes \mathcal{P}(Y \times Z) \\
 m_{\otimes} \downarrow & & \downarrow m_{\otimes} \\
 \mathcal{P}((X \times Y) \times Z) & \xrightarrow{\mathcal{P}(a_{\times})} & \mathcal{P}(X \times (Y \times Z))
 \end{array}$$



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7. Semantics

I have been working on showing that, if we add non-determinism to CaMPL as I have described, "everything" "still works".

Today we will talk about how sup-lattice enriching a linearly distributive category gives a sup-lattice enriched linearly distributive category.

And how initial and final algebras give semantics for non-deterministic protocols and coprotocols.

Lifting a LDC

- If \mathcal{P} is a monoidal functor, then it **preserves monoidal structure**, and we have shown that it is a monoidal functor.
- We also need to show \mathcal{P} **preserves natural transformations** and will therefore lift the linear distributors δ_L and δ_R .
- That is, if $\alpha : F \Rightarrow G : \mathbb{X} \rightarrow \mathbb{Y}$ is an arbitrary natural transformation, then $\eta(\alpha) : \mathcal{P}(F) \Rightarrow \mathcal{P}(G) : \mathcal{P}(\mathbb{X}) \rightarrow \mathcal{P}(\mathbb{Y})$ is also a natural transformation.
- We define $\eta(\alpha)$ with a naturality square:

$$\begin{array}{ccc}
 \mathcal{P}(F)(X) & \xrightarrow{\eta(\alpha)_X} & \mathcal{P}(G)(X) \\
 \mathcal{P}(F)(f) \downarrow & & \downarrow \mathcal{P}(G)(f) \\
 \mathcal{P}(F)(Y) & \xrightarrow{\eta(\alpha)_Y} & \mathcal{P}(G)(Y)
 \end{array}$$

And check that it commutes:

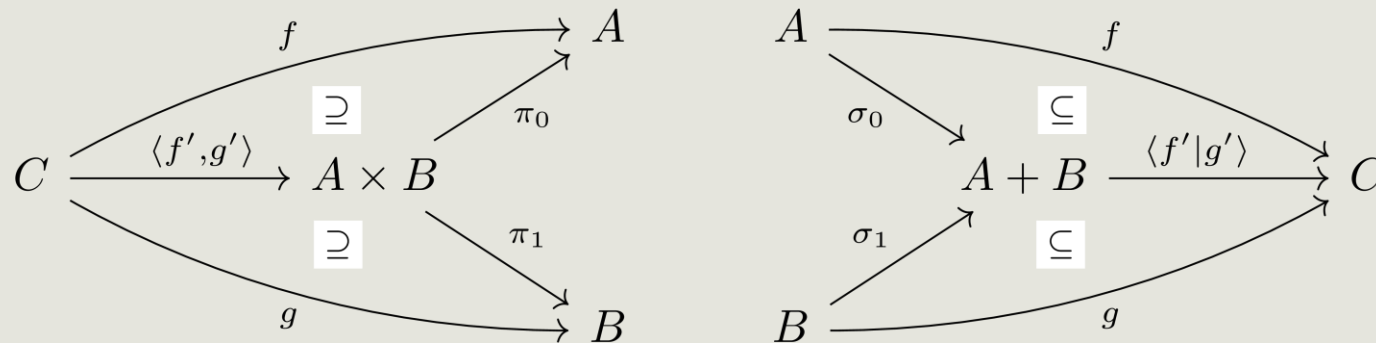
$$\begin{aligned}
 \eta(\alpha)_X \mathcal{P}(G)(f) &= \{\alpha_X\} \{G(x) \mid x \in f\} = \{\alpha_X G(x) \mid x \in f\} = \\
 &= \{F(x) \alpha_Y \mid x \in f\} = \{F(x) \mid x \in f\} \{\alpha_Y\} = \mathcal{P}(F)(f) \eta(\alpha)_Y
 \end{aligned}$$

Lifting products and coproducts

- Deterministic products have the universal property that there exists a unique map $\langle f, g \rangle$ such that $\langle f, g \rangle \pi_0 = f$ and $\langle f, g \rangle \pi_1 = g$.
- In a sup-lattice enriched category, f and g are non-deterministic, so the **unique maps for non-deterministic products** are $\langle f, g \rangle = \{ \langle f_i, g_j \rangle \mid f_i \in f, g_j \in g \}$.
- Deterministic coproducts have the universal property that there exists a unique map $\langle f \mid g \rangle$ such that $\sigma_0 \langle f \mid g \rangle = f$ and $\sigma_1 \langle f \mid g \rangle = g$.
- The **unique maps for non-deterministic coproducts** are $\langle f \mid g \rangle = \{ \langle f_i \mid g_j \rangle \mid f_i \in f, g_j \in g \}$.

Lax universal property

- Since f and g are non-deterministic, we can make the necessary diagrams commute **with subset inclusion equalities** where $\langle f', g' \rangle \subseteq \langle f, g \rangle$ and $\langle f' \mid g' \rangle \subseteq \langle f \mid g \rangle$ **rather than strict equalities**.



- However, $\langle f', g' \rangle$ and $\langle f' \mid g' \rangle$ are no longer unique as many subsets of $\langle f, g \rangle$ or $\langle f \mid g \rangle$ could make the diagram commute.
- So rather than a universal property, we have a **lax universal property** for non-deterministic products and coproducts.

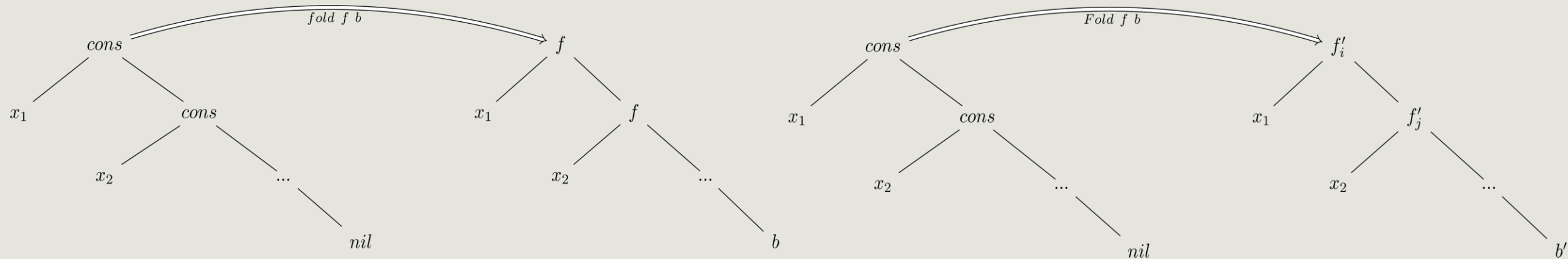
Lifting initial and final algebras

- In the deterministic semantics, initial and final algebras define the inductive and coinductive data types which give the **semantics for protocols and coprotocols**.
- Initial and final algebras also exist with subset inclusion equalities rather than strict equalities.
- In the case of initial algebras, we have $f' \subseteq Fold(f)$ where $f = \{f_i \mid f_i \in f\}$ and $Fold(f) = \bigcup_{cons} f' \subseteq F(f') f \{fold(f_i)\}$ which makes the following diagram commute:

$$\begin{array}{ccc}
 F(F^@) & \xrightarrow{cons} & F^@ \\
 \downarrow F(f') & \not\cong & \downarrow f' = fold(f) \\
 F(A) & \xrightarrow{f} & A
 \end{array}
 \qquad
 \begin{array}{ccc}
 F(F^@) & \xrightarrow{cons} & F^@ \\
 \downarrow F(f') & \supseteq & \downarrow f' \subseteq Fold(f) \\
 F(A) & \xrightarrow{f = \{f_i \mid f_i \in f\}} & A
 \end{array}$$

Non-deterministic folds and unfolds


- Inductive and coinductive data types have folds and unfolds.
- Non-deterministic folds and unfolds have constructors and destructors where **each iteration's function can be selected non-deterministically**.
- For example, $fold\ f\ b$ becomes $Fold\ f\ b$ with $f'_i, f'_j \in f, b' \in b$:





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References

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